



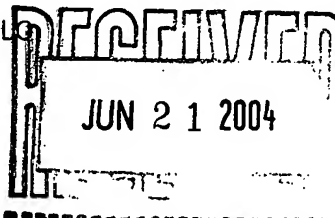
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APPLICATION NUMBER	FILING OR 371 (e) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/816,393	04/01/2004	Virinder Mohan Batra	CHA920040003US1

23550

HOFFMAN WARNICK & D'ALESSANDRO, LLP  
3 E-COMM SQUARE  
ALBANY, NY 12207



FORMALITIES LETTER



\*OC000000012991685\*

CONFIRMATION NO. 9578

Date Mailed: 06/18/2004

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

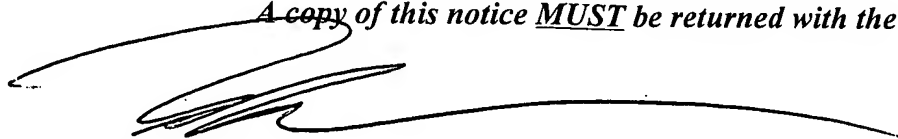
For questions regarding compliance to these requirements, please contact:

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*A copy of this notice **MUST** be returned with the reply.*

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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/816393
	<b>Filing Date</b>	Apr 1, 2004
	<b>First Named Inventor</b>	Batra et al.
	<b>Group Art Unit</b>	1653
	<b>Examiner Name</b>	Unknown
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> CHA920040003US1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Response to Notice to Comply (2 pgs.)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Sequence Listing Sheet (1 pg.)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> CD, Number of CD(s) <u>1</u>	Copy of Notice to Comply (2 pgs.)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Acknowledgment Postcard
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael F. Hoffman - Reg. No. 40019 Hoffman, Warnick & D'Alessandro LLC
Signature	
Date	July 20, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: <span style="border: 1px solid black; padding: 2px;">July 20, 2004</span>			
Typed or printed name	Jennifer Desbiens		
Signature		Date	July 20, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In Re Application of:</b>	Batra <i>et al.</i>	<b>Conf. #:</b>	9578
<b>Serial No.:</b>	10/816,393	<b>Art Unit:</b>	1653
<b>Filed:</b>	04/01/2004	<b>Dkt. #:</b>	CHA920040003US1 IBMC-0100
<b>Title:</b>	SYSTEM AND METHOD FOR SECURING GENOMIC INFORMATION	<b>Examiner:</b>	N/A

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**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID  
SEQUENCE DISCLOSURES**

Sir:

I. INTRODUCTORY COMMENTS

This paper is being filed in response to the Notice to Comply dated June 18, 2004.

II. REMARKS

Submitted herewith are (1) a one-page paper copy of the Sequence Listing required under 37 C.F.R. § 1.821(c), and (2) a computer readable form (CRF) copy of the Sequence Listing required under 37 C.F.R. § 1.821(e).

The sequence listing information recorded in computer readable form is identical to the written sequence listing. No new matter is included in this submission.

By this submission, Applicants are believed to have fully responded to the Notice to Comply and fulfilled the requirements of 37 C.F.R. §§ 1.821 – 1.825. Should the Examiner require anything further from Applicants, the Examiner is invited to contact Applicants' undersigned representative at the number listed below.

Respectfully submitted,



Michael F. Hoffman  
Reg. No. 40,019

Date: 7/20/04

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